

Course Notes

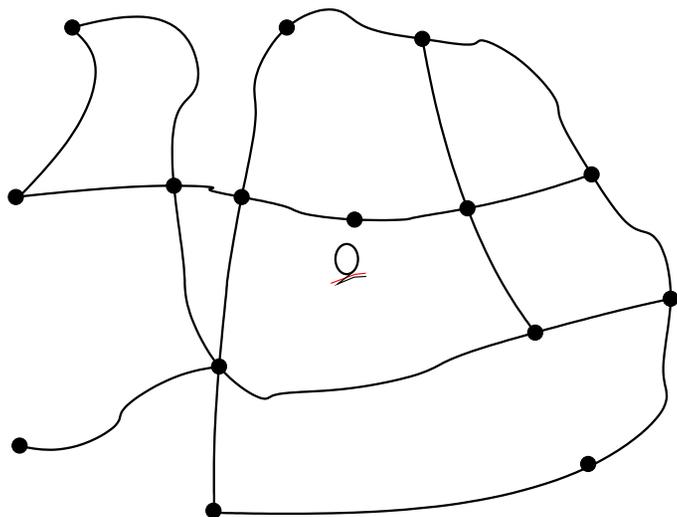
Graph Theory, Spring 2014

Queens College, Math 634

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<http://qcpages.qc.edu/~chanusa/courses/634sp14/>

What is a graph?



A graph is made up of dots and lines.

A “dot” is called a **vertex** (or **node**, **point**, **junction**)

One **vertex** — Two **vertices**.

A “line” is called an **edge** (or **arc**), and always connects **two** vertices.

A road map can be thought of as a graph.

- ▶ Represent each city or intersection as a vertex
- ▶ Roads correspond to edges.

However, a graph is an abstract concept.

- ▶ It doesn't matter whether the edge is straight or curved.
- ▶ All we care about is which vertices are connected.

Concept: Matchings

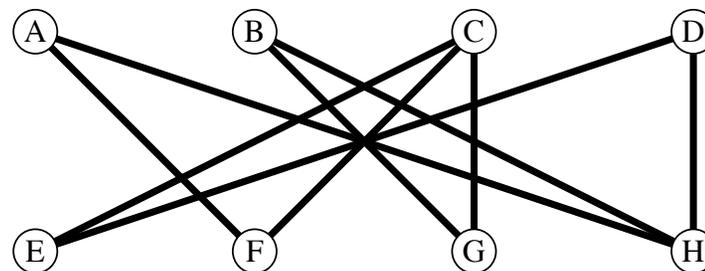
Suppose that:

Erika likes cherries and dates.

Frank likes apples and cherries.

Greg likes bananas and cherries.

Helen likes apples, bananas, dates.



A graph can illustrate these relationships.

- ▶ Create one vertex for each person and one vertex for each fruit.
- ▶ Create an edge between person vertex v and fruit vertex w if person v likes fruit w .

Question. Is there a way for each person to receive a piece of fruit he or she likes?

Answer.

Related topics: assignments, perfect matchings, counting questions.

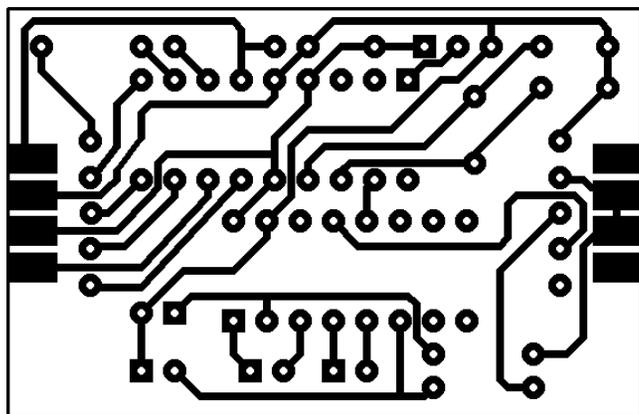
Concept: Planarity

Why does a circuit board look like this?

Question. Is graph G planar?

- ▶ If so, how can we draw it without crossings?
- ▶ If not, then how close to being planar is it?

Related topics: planarity, non-planarity stats, graph embeddings



Also related to a circuit board:

- ▶ Where to drill the holes?
- ▶ How to drill them as fast as possible?

Related topics: Traveling Salesman, computer algorithms, optimization

Chemis-Tree

Graphs are used in Chemistry to draw molecules. (isobutane)

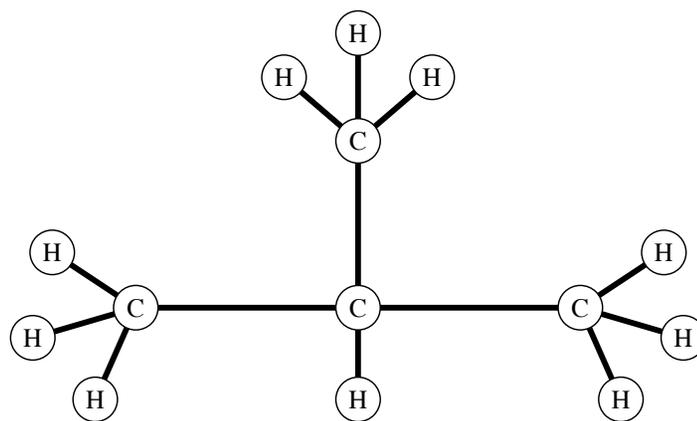
Note:

- ▶ This graph is *connected*. (Not true in general.)
- ▶ There are no *cycles* in this graph.

Connected graphs with no cycles are called **trees**.

Trees are some of the nicest graphs.

We will work to understand some of their properties.



To do well in this class:

- ▶ **Come to class prepared.**
 - ▶ Print out and read over course notes.
 - ▶ Read sections before class.
- ▶ **Form good study groups.**
 - ▶ Discuss homework and classwork.
 - ▶ Bounce proof ideas around.
 - ▶ You will depend on this group.
- ▶ **Put in the time.**
 - ▶ Three credits = (at least) nine hours / week out of class.
 - ▶ Homework stresses key concepts from class; learning takes time.
- ▶ **Stay in contact.**
 - ▶ If you are confused, ask questions (in class and out).
 - ▶ Don't fall behind in coursework or project.
 - ▶ I need to understand your concerns.

Mini-assignments due daily; homeworks posted the week before.

Please fill out the notecard: Name, something related to name, picture.

What is a graph?

Definition. A **graph** G is a pair of sets (V, E) , where

- ▶ V is the set of *vertices*.
 - ▶ A vertex can be anything.
- ▶ E is the set of *edges*.
 - ▶ An edge is an unordered pair of vertices from V .

[Sometimes we will write $V(G)$ and $E(G)$.]

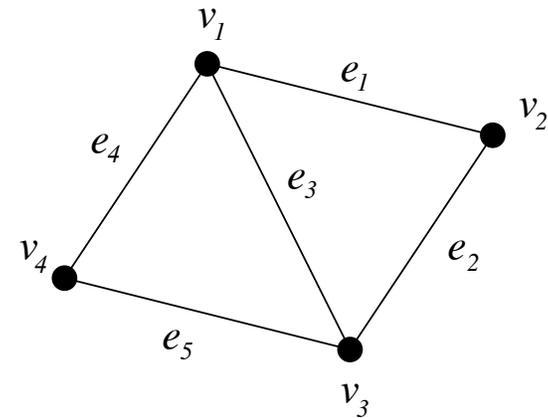
Example. Let $G = (V, E)$, where

$$V = \{v_1, v_2, v_3, v_4\},$$

$$E = \{e_1, e_2, e_3, e_4, e_5\}, \text{ and}$$

$$e_1 = \{v_1, v_2\}, e_2 = \{v_2, v_3\},$$

$$e_3 = \{v_1, v_3\}, e_4 = \{v_1, v_4\}, e_5 = \{v_3, v_4\}.$$



- ▶ We often write $e_1 = v_1 v_2$ with the understanding that order does not matter.

Notation: # vertices = $|V| = \underline{\quad} = \underline{\quad}$. # edges = $|E| = \underline{\quad} = \underline{\quad}$.

How to talk about a graph

We say v_1 is **adjacent** to v_2 if there is an edge between v_1 and v_2 . We also say v_1 and v_2 are **neighbors**.

Similarly, we would say that edges e_1 and e_2 are **adjacent**.

When talking about a vertex-edge pair, we will say that v_1 is **incident** to/with e_1 when v_1 is an **endpoint** of e_1 .

For now, we will only consider finite, simple graphs.

- ▶ G is **finite** means $|V| < \infty$. (Although infinite graphs do exist.)
- ▶ G is **simple** means that G has no multiple edges nor loops.
 - ▶ A **loop** is an edge that connects a vertex to itself.
 - ▶ **Multiple edges** occurs when the same unordered pair of vertices appears more than once in E .

When multiple edges are allowed (but not loops): called **multigraphs**.
When loops (& mult. edge) are allowed: called **pseudographs**.

Degree of a vertex

The **degree** of a vertex v is the number of edges incident with v , and denoted $\deg(v)$.

In our example,

$$\deg(v_1) = \underline{\quad}, \quad \deg(v_2) = \underline{\quad},$$

$$\deg(v_3) = \underline{\quad}, \quad \deg(v_4) = \underline{\quad}.$$

If $\deg(v) = 0$, we call v an **isolated vertex**.

If $\deg(v) = 1$, we call v an **end vertex** or **leaf**.

If $\deg(v) = k$ for all v , we call G a **k -regular graph**.

The **degree sum** of a graph is the sum of the degrees of all vertices.

Degree sum exploration:

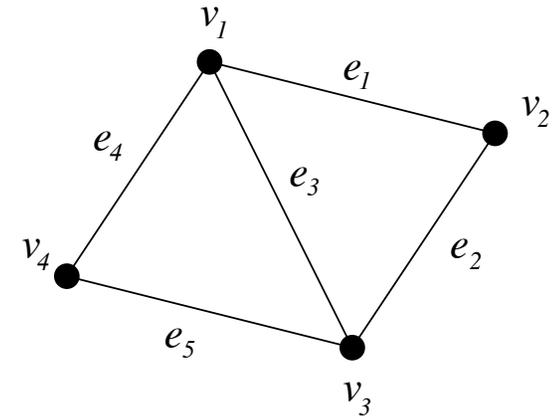
Q. What is $\deg(v_1) + \deg(v_2) + \deg(v_3) + \deg(v_4)$?

A. $\sum_{v \in V} \deg(v) =$

Q. How many edges in G ?

A. $m =$

How are these related?



Degree sum formula

Theorem 1.1.1. $\sum_{v \in V} \deg(v) = 2m.$

Proof. We count the number of vertex-edge incidences in two ways.

Vertex-centric: For one v , how many v - e incidences are there? ____.
So the total number of vertex-edge incidences in G is _____.

Edge-centric: For one e , how many v - e incidences are there? ____.
So the total number of vertex-edge incidences in G is _____.

Since we have counted the same quantity in two different ways, the two values are equal. \square

Corollary: *The degree sum of a graph is always even.*

Degree sequence of a graph

Definition. The **degree sequence** for a graph G is the list of the degrees of its vertices in **weakly decreasing** order.

In our example above, the degree sequence is: _____.

Duh. Every simple graph has a degree sequence.

Question. Does every sequence have a simple graph?

Answer.

Degree sequence of a graph

Definition. A weakly decreasing sequence of non-negative numbers \mathcal{S} is **graphic** if there exists a graph that has \mathcal{S} as its degree sequence.

Question. How can we tell if a sequence \mathcal{S} is graphic?

- ▶ Find a graph with degree sequence \mathcal{S} .

OR: Use the **Havel–Hakimi algorithm** in Theorem 1.1.2.

- ▶ **Initialization.** Start with **Sequence \mathcal{S}_1** .
- ▶ **Step 1.** Remove the first number (call it s).
- ▶ **Step 2.** Subtract 1 from each of the next s numbers in the list.
- ▶ **Step 3.** Reorder the list if necessary into non-increasing order.
Call the resulting list **Sequence \mathcal{S}_2** .

Theorem 1.1.2. **Sequence \mathcal{S}_1** is graphic iff **Sequence \mathcal{S}_2** is graphic.

- ▶ Iterate this algorithm until either:
(a) It is easy to see **\mathcal{S}_2** is graphic. (b) **\mathcal{S}_2** has negative numbers.

Examples: 7765333110 and 6644442

Proof of the Havel–Hakimi algorithm

Notation: Define the degree sequences to be:

$$\mathcal{S}_1 = (s, t_1, t_2, \dots, t_s, d_1, \dots, d_k).$$

$$\mathcal{S}_2 = (t_1 - 1, t_2 - 1, \dots, t_s - 1, d_1, \dots, d_k).$$

Theorem. Sequence \mathcal{S}_1 is graphic **iff** Sequence \mathcal{S}_2 is graphic.

Proof. (\mathcal{S}_2 graphic \Rightarrow \mathcal{S}_1 graphic) Suppose that \mathcal{S}_2 is graphic.

Therefore, there exists a graph G_2 with degree sequence \mathcal{S}_2 .

We will construct a graph G_1 that has \mathcal{S}_1 as its degree sequence.

Question: Can this argument work in reverse?

Proof of the Havel–Hakimi algorithm

Proof. (\mathcal{S}_1 graphic \Rightarrow \mathcal{S}_2 graphic) Suppose that \mathcal{S}_1 is graphic. Therefore, there exists a graph G_1 with degree sequence \mathcal{S}_1 . We will construct a graph with degree sequence \mathcal{S}_2 in stages.

Game plan:

$$G_1 \longrightarrow G_2 \longrightarrow G_3 \longrightarrow \cdots \longrightarrow G_a$$

- ▶ Start with G_1 which we know exists.
- ▶ At each stage, create a new graph G_i from G_{i-1} such that
 - ▶ G_i has degree sequence \mathcal{S}_1 .
 - ▶ The vertex of degree s in G_i is adjacent to MORE of the highest degree vertices than G_{i-1} .
- ▶ After some number of iterations, the vertex of highest degree s in G_a will be adjacent to the next s highest degree vertices.
- ▶ Peel off vertex S to reveal a graph with degree sequence \mathcal{S}_2 .

Proof of the Havel–Hakimi algorithm

Vertices $S, T_1, \dots, T_s, D_1, \dots, D_k$ have degrees $s, t_1, \dots, t_s, d_1, \dots, d_k$.

(a) Suppose S is not adjacent to all vertices of next highest degree (T_1 through T_s).

Therefore, there exists a T_i to which S is not adjacent and a D_j to which S is adjacent.

(b) Because $\deg(T_i) \geq \deg(D_j)$, then there exists a vertex V such that T_iV is an edge and D_jV is not an edge.

(c) Replace edges SD_j and T_iV with edges ST_i and D_jV .

(d) The degree sequence of the new graph is the same. (Why?) **AND** S is now adjacent to more T vertices. (Why?) Repeat as necessary.

